Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT	OF CHAI	NGES IN E	BENEFICIA	AL OWNERS	SHIP

OMB APPROVAL								
OMB Number: 3235-								
Estimated average burden								
hours per response:	0.5							

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

l	nd Address of ER GREC	Reporting Person* GORY D							ker or Tradin nces, Inc			]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner				
	CADIA BIO	irst) DSCIENCES, IN LACE, SUITE 1			06/	3. Date of Earliest Transaction (Month/Day/Year) 06/02/2021								Office below	r (give title )		Other (s below)	pecify
(Street)  DAVIS  CA  95618  (City)  (State)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  4. If Amendment, Date of Original Filed (Month/Day/Year)  A. If Amendment, Date of Original Filed (Month/Day/Year)  S. Form filed by One Reporting Person  Form filed by More than One Reporting Person							orting Persor	1										
1. Title of Security (Instr. 3)		2. Transa Date			3. Transacti Code (Ins	ion str.	4. Securities Acquired (A)			5. Amou Securiti Benefic	nt of s Form (D) o (I) (Ir on(s)		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership Instr. 4)				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	xecution Date, Transaction of		ive ies ed ed nstr.	Expiration Date (Month/Day/Year) of Securities Underlying Derivative Securities (Instr. 3 and 4)				ies g Security	8. Price of Derivative Security (Instr. 5)			Ownership of Form: E Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				c	Code	v	(A)	(D)	Date Exercisable		xpiration ate	Title	Amount or Number of Shares					
Stock Option (right to buy)	\$2.89	06/02/2021			A		10,935		(1)	06	5/02/2031	Common Stock	10,935	\$0.00	36,15	7	D	

1. The Option will become 100% vested and exercisable on the earlier to occur of (i) June 2, 2022 or (ii) the date of the Issuer's next Annual Meeting of Stockholders, subject to the Participant's continued

## Remarks:

Gregory D. Waller, by Attorney-in-Fact, Cesare Suardi

06/04/2021

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.